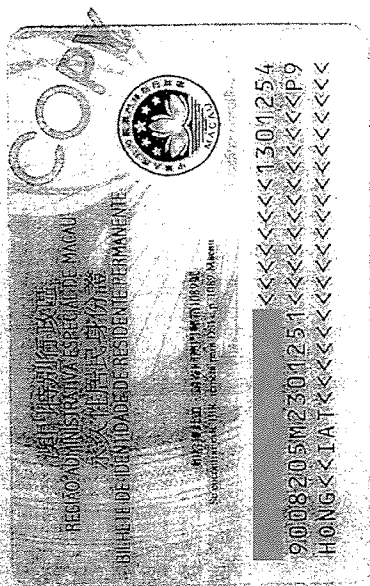


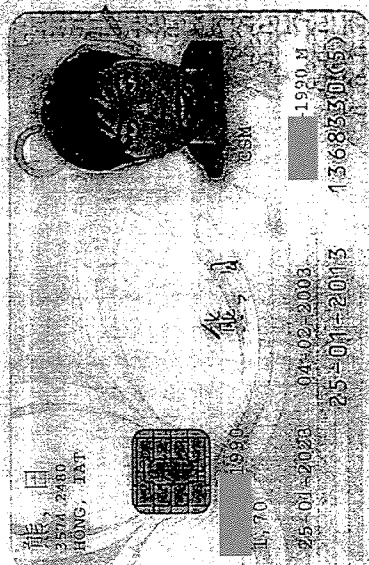
EXHIBIT E

CONFIDENTIAL



Certified True Copy of the Original

SHUM WAH NIN LARRY
SFC Licensed Person (CE No: A0L348)
Date: 20/12/2014



SHUM WAH NIN LARRY

ETC Licensed Person (CE No:AOL348)

Caia:

29/12/2014

AVERBAMENTOS/OBSERVATIONS

新 作

Seating: 1000 seats
 2000 seats
 3000 seats
 4000 seats
 5000 seats
 6000 seats
 7000 seats
 8000 seats
 9000 seats
 10000 seats

1988年1月1日
1988年1月1日
1988年1月1日

中華人民共和國澳門特別行政區

REGIAO ADMINISTRATIVA ESPECIAL DE MACAU DA REPUBLICA POPULAR DA CHINA
MACAO SPECIAL ADMINISTRATIVE REGION OF THE PEOPLE'S REPUBLIC OF CHINA

[illegible]

THE
SOUTH
AFRICAN
BUREAU

NAME
LAST FIRST MIDDLE
B. DATE B. PLACE
EDUCATION
OCCUPATION
MARRIAGE
MOTHER'S NAME
FATHER'S NAME
B. DATE B. PLACE
EDUCATION
OCCUPATION
MARRIAGE
MOTHER'S NAME
FATHER'S NAME

IAT

GUINESE
841 NATIONALS AND NATIONALITY
R-01 OF HONORABLE DEPT. OF

15 MAY 2012

LOCAL DEMONSTRATION/PLANTING 奇里基土產植物展示場/栽植場

GUANGDONG / 15 MAY 2022

1990

LIBRARY OF THE UNIVERSITY OF TORONTO

INSTITUTO DE ADMINISTRAÇÃO E FINANÇAS DA REGIÃO ADMINISTRATIVA ESPECIAL

100

[illegible]

HN9008205M20515313683305<<<<

[illegible]

[The page contains extremely faint, illegible text.]

1. The first step in the process of identifying a problem is to recognize that a problem exists. This is often done by comparing current performance with a desired state or goal. If there is a significant difference, a problem is identified.

100

1. The first step in the process of creating a new product is to identify a market need. This involves conducting market research to understand what consumers want and what problems they are facing.

[illegible]

P<CHNHONG<<IAT<<<<<<<<<<<<<<<<<<<<<<<<<<<<<
HN8008205N220515313683305<<<<<<<<<<<<<<<<<56

CHIN 9008205M220515313683305<<<<<<<<56



澳門電訊有限公司
Companhia de Telecomunicações
de Macau S.A.R.L.

62

COPY

熊日
迷仔
七潭公路
葡京花園美景
12樓C

賬號:	1729110-0	發單日期:	19/12/2014
銀行過戶日期:	08/01/2015	繳費限期:	參閱存根
按金:	澳門幣 0.00	匯款金額:	澳門幣 215.03
預繳金額:	澳門幣 0.00	合共:	
		發單編號:	0036997265
		頁數:	1

CTM Wi-Fi全澳超過400個全光纖熱點、遍佈各區食肆、連鎖便利店、銀行、機場及碼頭！
覆蓋更全面、速度更快更穩定！

賬單日期: 19/11/2014 - 19/12/2014

賬項摘要: 流動電話號碼: 62835982

日期	賬目	金額
	前期金額	119.03
19/12/2014-19/01/2015	iPhone 數據計劃 \$198	198.00
	調整-優惠機價預繳金	-102.00
	應繳金額合共:	215.03

CTM積分獎賞計劃

積分獎賞賬戶類型	積分獎賞賬戶	可使用之積分	已使用之積分	積分獎賞之有效日期
個人服務	62835982	3225	0	31/01/2016

每日語音通話時間一覽表 (分鐘 / 不包括 WAP 無線上網服務使用時間)

日期	19/11	20/11	21/11	22/11	23/11	24/11	25/11	26/11	27/11	28/11	29/11	30/11	01/12	02/12	03/12	04/12
分鐘	0	0	0	0	0	0	0	0	1	7	0	0	2	21	0	0
日期	05/12	06/12	07/12	08/12	09/12	10/12	11/12	12/12	13/12	14/12	15/12	16/12	17/12	18/12		
分鐘	2	0	7	11	20	18	0	0	0	0	0	0	0	5		

每日視像通話時間一覽表 (分鐘 / 不包括 WAP 無線上網服務使用時間)

日期	19/11	20/11	21/11	22/11	23/11	24/11	25/11	26/11	27/11	28/11	29/11	30/11	01/12	02/12	03/12	04/12
分鐘	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
日期	05/12	06/12	07/12	08/12	09/12	10/12	11/12	12/12	13/12	14/12	15/12	16/12	17/12	18/12		
分鐘	0	0	0	0	0	0	0	0	0	0	0	0	0	0		

存根

熊日



賬號: 1729110-0
發單日期: 19/12/2014

過期金額: 119.03 請即繳付過期金額

本月金額: 98.00 繳費限期: 03/01/2015
應繳金額合共: 215.03

為免因服務暫停所造成之不便，請即繳付過期款項，重新接駁服務須繳付服務費

閣下之賬項將於上述指定日期經銀行自動轉賬繳付



Certified True Copy of the Original

SHUM WAH NIN LARRY
SFC Licensed Person (CE No: A01343)

Date: 29/12/2014

客户资料声明 - 个人 / 联名账户



中銀國際證券有限公司
香港中环花园道1号中银大厦20楼
香港中环皇后大道中181号新纪元广场18楼
中央编号: AAC298

账户类别

☒ 个人账户

☐ 联名账户 (除账户持有人外, 每名账户持有人均需填写「客户资料声明 - 联名账户持有人」)

账户持有人之个人资料

英文姓名 HONG IAT	中文姓名 熊日
英文前用姓名 / 别名 (如有) /	中文前用姓名 / 别名 (如有) /
身份证 / 护照号码 [REDACTED] 330(5)	性别 <input checked="" type="checkbox"/> 男 <input type="checkbox"/> 女
国籍 CHINESE	其他国籍 (如适用) /
出生日期 [REDACTED] 1990 年	出生地点 GUANGDONG
美国纳税人 <input type="checkbox"/> 是 <input checked="" type="checkbox"/> 否	美国绿卡持有人 <input type="checkbox"/> 是 <input checked="" type="checkbox"/> 否
住宅电话号码 [REDACTED]	手提电话号码 853-[REDACTED]-62818329
传真号码 [REDACTED]	联络号码 (电话或传真) 为一个美国号码 <input type="checkbox"/> 是 <input checked="" type="checkbox"/> 否
基本电邮地址 <u>hong iat @ hotmail.com</u> 此电邮地址将会用作账户运作、启动网上交易服务及收取账户通讯包括电子结单之用 (如适用)	



住宅地址 (不接受邮政信箱) 澳門氹仔毛潭公路 葡京花園 美景壹 12樓C (邮政编号:)		
永久地址 (如与上述不同) (不接受邮政信箱) (邮政编号:)		
住宅类别 <input checked="" type="checkbox"/> 与父母同住 <input type="checkbox"/> 自置 (无按揭) <input type="checkbox"/> 按揭 / 每月供款\$ _____ <input type="checkbox"/> 亲属楼宇 <input type="checkbox"/> 宿舍 <input type="checkbox"/> 租用 / 每月租金\$ _____		
就业情况 <input type="checkbox"/> 自雇 <input checked="" type="checkbox"/> 全职 <input type="checkbox"/> 兼职 <input type="checkbox"/> 现未有就业 <input type="checkbox"/> 退休 <input type="checkbox"/> 全职学生 <input type="checkbox"/> 家庭主妇 <input type="checkbox"/> 其他 (请注明) _____		
雇主名称 珠海市爱家智能系统有限公司		业务性质 IT.
公司电话 853-62818329	现职年期 1	职位 总经理助理
公司地址 珠海市香洲区银桦路 102号 9楼 901-903		
通讯地址 <input checked="" type="checkbox"/> 住址 <input type="checkbox"/> 永久 <input type="checkbox"/> 公司 <input type="checkbox"/> 其他: _____		
与联名账户持有人的关系 (只适用于联名账户) /		

持牌 / 注册人士申报					
阁下是否为证券及期货条例 (香港法例第571章) 中所定义的持牌 / 注册人士, 或持牌法团 / 注册机构 (如经纪行 / 银行等) 之雇员? <input checked="" type="checkbox"/> 否 <input type="checkbox"/> 是, 请列明: _____					
阁下的亲属是否中银国际集团 (包括中银国际控股有限公司及其附属公司) 之员工? <input checked="" type="checkbox"/> 否 <input type="checkbox"/> 是, 请列明: <table border="1" style="width: 100%;"> <tr> <td style="width: 60%;">姓名</td> <td>关系</td> </tr> <tr> <td> </td> <td> </td> </tr> </table>		姓名	关系		
姓名	关系				

账户之最终实益拥有人

阁下是否此账户的最终实益拥有人？(此资料是根据香港证券及期货事务监察委员会所制定之「客户身份规则」要求而索取)

☒ 是 ☐ 否，请提供最终实益拥有人的详细资料：

英文姓名	中文姓名
英文前用姓名 / 别名(如有)	中文前用姓名 / 别名(如有)
身份证 / 护照号码	性别 <input type="checkbox"/> 男 <input type="checkbox"/> 女
国籍	其他国籍 (如适用)
出生日期 日 月 年	出生地点
美国纳税人 <input type="checkbox"/> 是 <input type="checkbox"/> 否	美国绿卡持有人 <input type="checkbox"/> 是 <input type="checkbox"/> 否
联络号码 - - - - -	联络号码 (电话或传真) 为一个美国号码 <input type="checkbox"/> 是 <input type="checkbox"/> 否
地址	
阁下、阁下之配偶或任何阁下所控制之公司 (持有35%或以上之投票权) 现时是否持有其他中银国际证券有限公司之账户？ <input checked="" type="checkbox"/> 否 <input type="checkbox"/> 是，请提供详细资料：	
账户名称	账户号码
账户名称	账户号码

申请人之银行资料

款项将根据客户的提款指示转入以下银行账户

银行账户 (香港) ☒ 美金 ☒ 港币

银行名称 BOC (HK)

账户名称 HONG 187

账户号码 [REDACTED] 577-8

[REDACTED] 12781-7

银行账户 (海外)

银行名称

银行地址

账户名称

账户号码

货币

申请人之财务纪录及投资经验		
(此资料是根据香港证券及期货事务监察委员会所制定之「认识你的客户要求」要求而索取)		
收入及资产		
估计年度收入 (港元) <input checked="" type="checkbox"/> HK\$200,000以下 <input checked="" type="checkbox"/> HK\$200,000 - HK\$500,000 <input type="checkbox"/> HK\$500,001 - HK\$1,000,000 <input type="checkbox"/> HK\$1,000,001 - HK\$5,000,000 <input type="checkbox"/> HK\$5,000,000以上 <input type="checkbox"/> 其他收入来源: _____	估计资产净值 (港元) (总资产 - 总负债) <input type="checkbox"/> HK\$500,000以下 <input type="checkbox"/> HK\$500,000 - HK\$3,000,000 <input checked="" type="checkbox"/> HK\$3,000,001 - HK\$5,000,000 <input type="checkbox"/> HK\$5,000,001 - HK\$30,000,000 <input type="checkbox"/> HK\$30,000,000 以上	
教育程度		
<input type="checkbox"/> 小学 <input type="checkbox"/> 中学 <input checked="" type="checkbox"/> 大学或以上 <input type="checkbox"/> 其他: _____		
账户资金来源 (可选择多项)		
<input type="checkbox"/> 出售物业 <input checked="" type="checkbox"/> 收入储蓄 / 奖金 <input type="checkbox"/> 出售投资 <input type="checkbox"/> 退休金 <input type="checkbox"/> 投资回报 <input checked="" type="checkbox"/> 继承 / 馈赠 <input type="checkbox"/> 佣金 <input type="checkbox"/> 其他, 请注明: _____		
投资目标及策略 (可选择多项)		
<input type="checkbox"/> 保本 <input checked="" type="checkbox"/> 建立财富 <input type="checkbox"/> 投机 <input type="checkbox"/> 定期收入 <input type="checkbox"/> 对抗通胀 <input type="checkbox"/> 对冲 <input type="checkbox"/> 退休 <input type="checkbox"/> 子女教育基金 <input type="checkbox"/> 其他: _____		
投资期限		
<input type="checkbox"/> 短期 (即不足1年) <input checked="" type="checkbox"/> 中短期 (即1至5年) <input type="checkbox"/> 中期 (即6至10年) <input type="checkbox"/> 长期 (即10年以上)		
投资经验		
产品	每年交易次数	投资经验 (年)
上市股票	<input type="checkbox"/> < 20 <input type="checkbox"/> 20 - 50 <input checked="" type="checkbox"/> > 50	<input type="checkbox"/> 无 <input type="checkbox"/> < 1 <input checked="" type="checkbox"/> 1 - 5 <input type="checkbox"/> > 5
债务证券 / 债券	<input type="checkbox"/> < 20 <input type="checkbox"/> 20 - 50 <input type="checkbox"/> > 50	<input checked="" type="checkbox"/> 无 <input type="checkbox"/> < 1 <input type="checkbox"/> 1 - 5 <input type="checkbox"/> > 5
杠杆式外汇	<input type="checkbox"/> < 20 <input type="checkbox"/> 20 - 50 <input type="checkbox"/> > 50	<input checked="" type="checkbox"/> 无 <input type="checkbox"/> < 1 <input type="checkbox"/> 1 - 5 <input type="checkbox"/> > 5
商品 / 金银 / 贵金属	<input type="checkbox"/> < 20 <input type="checkbox"/> 20 - 50 <input type="checkbox"/> > 50	<input checked="" type="checkbox"/> 无 <input type="checkbox"/> < 1 <input type="checkbox"/> 1 - 5 <input type="checkbox"/> > 5

场外结构性产品		
• 保本产品	<input type="checkbox"/> < 20 <input type="checkbox"/> 20 - 50 <input type="checkbox"/> > 50	<input checked="" type="checkbox"/> 无 <input type="checkbox"/> < 1 <input type="checkbox"/> 1 - 5 <input type="checkbox"/> > 5
• 非保本产品		
常规衍生产品	<input type="checkbox"/> < 20 <input type="checkbox"/> 20 - 50 <input type="checkbox"/> > 50	<input checked="" type="checkbox"/> 无 <input type="checkbox"/> < 1 <input type="checkbox"/> 1 - 5 <input type="checkbox"/> > 5
特殊衍生产品	<input type="checkbox"/> < 20 <input type="checkbox"/> 20 - 50 <input type="checkbox"/> > 50	<input checked="" type="checkbox"/> 无 <input type="checkbox"/> < 1 <input type="checkbox"/> 1 - 5 <input type="checkbox"/> > 5
交易所买卖衍生产品 (于香港或其他地方买卖)		
• 认股权证 <input type="checkbox"/> 香港 <input type="checkbox"/> 其他交易所 (请注明) _____	<input type="checkbox"/> < 20 <input type="checkbox"/> 20 - 50 <input type="checkbox"/> > 50	<input checked="" type="checkbox"/> 无 <input type="checkbox"/> < 1 <input type="checkbox"/> 1 - 5 <input type="checkbox"/> > 5
• 牛熊证 <input type="checkbox"/> 香港 <input type="checkbox"/> 其他交易所 (请注明) _____	<input type="checkbox"/> < 20 <input type="checkbox"/> 20 - 50 <input type="checkbox"/> > 50	<input checked="" type="checkbox"/> 无 <input type="checkbox"/> < 1 <input type="checkbox"/> 1 - 5 <input type="checkbox"/> > 5
• 期货及期权合约 <input type="checkbox"/> 香港 <input type="checkbox"/> 其他交易所 (请注明) _____	<input type="checkbox"/> < 20 <input type="checkbox"/> 20 - 50 <input type="checkbox"/> > 50	<input checked="" type="checkbox"/> 无 <input type="checkbox"/> < 1 <input type="checkbox"/> 1 - 5 <input type="checkbox"/> > 5
• 股票挂钩票据 / 股票挂钩工具 <input type="checkbox"/> 香港 <input type="checkbox"/> 其他交易所 (请注明) _____	<input type="checkbox"/> < 20 <input type="checkbox"/> 20 - 50 <input type="checkbox"/> > 50	<input checked="" type="checkbox"/> 无 <input type="checkbox"/> < 1 <input type="checkbox"/> 1 - 5 <input type="checkbox"/> > 5
• 其他产品 (请注明) _____ <input type="checkbox"/> 香港 <input type="checkbox"/> 其他交易所 (请注明) _____	<input type="checkbox"/> < 20 <input type="checkbox"/> 20 - 50 <input type="checkbox"/> > 50	<input checked="" type="checkbox"/> 无 <input type="checkbox"/> < 1 <input type="checkbox"/> 1 - 5 <input type="checkbox"/> > 5

投资基金			
• 互惠基金 / 单位信托	<input type="checkbox"/> < 20 <input type="checkbox"/> 20 - 50 <input type="checkbox"/> > 50	<input checked="" type="checkbox"/> 无 <input type="checkbox"/> < 1 <input type="checkbox"/> 1 - 5 <input type="checkbox"/> > 5	
• 对冲 / 私募基金	<input type="checkbox"/> < 20 <input type="checkbox"/> 20 - 50 <input type="checkbox"/> > 50	<input checked="" type="checkbox"/> 无 <input type="checkbox"/> < 1 <input type="checkbox"/> 1 - 5 <input type="checkbox"/> > 5	
• 杠杆及特设交易所买卖基金	<input type="checkbox"/> < 20 <input type="checkbox"/> 20 - 50 <input type="checkbox"/> > 50	<input checked="" type="checkbox"/> 无 <input type="checkbox"/> < 1 <input type="checkbox"/> 1 - 5 <input type="checkbox"/> > 5	
• 其他基金 (请注明) _____	<input type="checkbox"/> < 20 <input type="checkbox"/> 20 - 50 <input type="checkbox"/> > 50	<input checked="" type="checkbox"/> 无 <input type="checkbox"/> < 1 <input type="checkbox"/> 1 - 5 <input type="checkbox"/> > 5	
其他相关投资经验： /			

申请人声明

本人兹声明在此客户资料声明内的资料均为属实、完整及正确。中银国际证券有限公司获授权随时联络任何人，包括但不限于本人之银行、经纪或任何信用机构，以求证实客户资料声明内所载之内容。

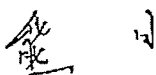
本人确认本人现时并非受雇于任何持牌法团或注册机构从事证券及期货条例（香港法例第571章）界定的受规管活动，否则本人明白本人须向中银国际证券有限公司提供本人雇主所发出的同意书方可于中银国际证券有限公司开立及使用本人的账户。本人并承诺如将受雇或不再受雇于任何持牌法团或注册机构从事受规管活动时，立即通知中银国际证券有限公司。

本人承诺如任何载于此客户资料声明内的资料有任何变更，本人将尽快以书面通知中银国际证券有限公司。除非中银国际证券有限公司接收到本人更改有关内容的书面通知，中银国际证券有限公司有权在任何情况下完全依赖本人的证明及确认。

申请人签署**(此签署将被视作签署式样)**

申请人签署：

日期：



29/12/2014

账户申请表格



中銀國際證券有限公司
BOCI Securities Limited

A3

中銀國際證券有限公司
香港中环花园道1号中银大厦20楼
香港中环皇后大道中181号新纪元广场18楼
中央编号: AAC298

For internal use only

Sales / RM Code: AEG11 /

Referral Code: _____

Account No.: _____

Group ID: _____

Comm Code: _____

Account risk level:

☒ high ☐ medium ☐ low

第A部份 基本资料

账户名称 (英文)

HONG IAT

账户号码 (适用于现有客户)

账户种类:

☒ 个人账户

☐ 联名账户 - 请注明联名账户持有人总人数

☐ 公司账户

账户持有人之身份证 / 护照号码 (适用于个人 / 联名账户)

330(5)

公司注册证明书编号 (适用于公司账户)

第B部份 需要服务种类

本人 / 吾等欲开立及维持交易账户, 作为对以下产品交易之用:

☐ 现金证券

☒ 孖展证券

☐ 期货 / 指数期权 / 商品期权

☐ 股票期权

☐ 杠杆式外汇买卖

☐ 投资移民账户

☐ 其他: _____



第C部份 申请网上交易服务**C-1 网上证券交易服务**

☒ 需要 (请继续填写余下的C-1部份) ☐ 不需要

☐ 本人 / 吾等愿意使用本人 / 吾等现有之网上证券账户登入名称登入本人 / 吾等新开立的证券账户。

☒ 本人 / 吾等愿意申请一个全新的网上证券账户登入名称以登入新开立的证券账户。

请提供三个网上账户登入名称选择 (6 - 15个数字或字母, 不能加入空格和符号, 例: paulchan123)

第一网上服务用户	第二网上服务用户 (联名账户必须填写, 公司账户可选择性填写)
1. kongiat	1.
2.	2.
3.	3.

C-2 网上期货及商品交易服务

☐ 需要 ☒ 不需要

C-3 网上杠杆式外汇交易服务

☐ 需要 ☒ 不需要

第D部份 账户操作

现有客户不须填写此部份。新增产品交易的账户运作会按现有账户设定维持不变。

D-1 签署安排 (只适用于 联名账户)

有关决定吾等账户操作 (包括提款及资产转移) 之有效文件, 吾等决定必须按照以下签署方式处理:

☐ 由任何单一方账户持有人签署 ☒ 由联名账户持有人同时签署

D-2 公司通讯 (只适用于 证券账户)

阁下是否希望收取有关未以阁下名义登记持有但存放于香港中央结算系统内证券之公司通讯?

☒ 否

☐ 是, 本人 / 吾等现授权中银国际证券有限公司, 向香港中央结算有限公司及 / 或有关过户登记处披露本人 / 吾等之名称及通讯地址。本人 / 吾等承诺若该等资料有所更改时, 必须立即通知中银国际证券有限公司, 并知悉就此事宜中银国际证券有限公司只负责向上述机构披露本人 / 吾等之名称及通讯地址。

D-3 结单寄发

请选择下列**其中一种**方式收取结单：☒ 电子结单*

电子日结单及月结单将发送至阁下的基本电邮地址

☐ 额外电邮地址以收取电子结单复本 (只适用于公司账户)

*倘若电邮通讯设备或其传送发生故障，中银国际证券有限公司保留权利，在不时及无需作任何事先通知的情况下，暂停或终止透过电邮向阁下发出结单。结单将以邮寄方式发送至阁下最后向我司提供之通讯地址。

☐ 书面结单

日结单及月结单将以邮寄方式发送至阁下之通讯地址 (就联名账户，书面结单将寄至账户持有人之通讯地址)

语言选择：☐ 英文 ☒ 简体中文

D-4 即时交易确认短讯

☐ 需要

本人 / 吾等希望透过手提电话接收证券及 / 或期货及商品交易的即时确认短讯。请把即时确认短讯发送至账户持有人已登记的香港手提电话。

☐ 证券账户 (申请人须同时申请网上证券交易服务 (C-1部分))☐ 期货 / 商品 / 股票期权账户

即时交易确认短讯服务只适用于香港手提电话号码并视乎网络供应商是否提供该等服务。倘若电邮通讯设备或其传送发生故障，中银国际证券有限公司保留权利，在不时及无需作任何事先通知的情况下，暂停或终止向阁下发出交易确认短讯。

☒ 不需要

D-5 每日成交总结 (只适用于公司账户)

☐ 需要 (请继续填写余下的 D-5 部份)☒ 不需要请选择下列**其中一种**方式收取每日成交总结：☐ 传真☒ 公司传真号码☐ 额外传真号码以收取交易总结复本
☐ 电邮☒ 基本电邮地址☐ 额外电邮地址以收取交易总结复本

倘若通讯设备或其传送发生故障，中银国际证券有限公司保留权利，在不时及无需作任何事先通知的情况下，暂停或终止向阁下发出每日成交总结。

第E部份 申请人之声明**E - 1 申请人确认书**

于签署此账户申请表前，敬请：

- 注意所有与中银国际证券有限公司完成或透过中银国际证券有限公司进行之交易均受客户协议（定义请参阅下文）之条件及条款所限制；及
- 细阅已按照阁下所选择的语言（英文或中文）而提供予阁下的客户协议（包括风险披露声明），提出问题及征求独立的意见（如阁下有此意愿）。

(适用于新客户)

- ☒ 本人 / 吾等确认已收取中银国际证券有限公司之客户协议（包括协议所有部份及附录）、风险披露声明、客户资料声明、账户申请表及其他与是次开户有关的协议及文件（如适用）（合称「**客户协议**」）及确认客户协议（包括风险披露声明）是按照本人 / 吾等所选择的语言（英文或中文）而提供，并已邀请本人 / 吾等阅读该客户协议（包括风险披露声明），提出问题及征求独立的意见（如本人 / 吾等有此意愿）。本人 / 吾等确认本人 / 吾等已仔细阅读，充分理解并接受载于客户协议内（包括风险披露声明）的所有条款和规定，并同意遵守客户协议（包括风险披露声明）可能会不时修改的所有条款和规定。

(适用于现有客户)

- ☐ 本人 / 吾等确认已备有早前由中银国际证券有限公司提供之客户协议（包括协议所有部份及附录）、风险披露声明、客户资料声明、账户申请表及其他与是次开户有关的协议及文件（如适用）（合称「**客户协议**」）及并不需要另一套客户协议（包括风险披露声明）。本人 / 吾等明白本人 / 吾等有权随时要求中银国际证券有限公司提供另一套客户协议（包括风险披露声明）及 / 或本人 / 吾等随时可以于中银国际证券有限公司网页内获取一套客户协议（包括风险披露声明）。本人 / 吾等确认客户协议（包括风险披露声明）是按照本人 / 吾等所选择的语言（英文或中文）而提供，本人 / 吾等并已被邀请仔细阅读该客户协议（包括风险披露声明），提出问题及征求独立的意见（如本人 / 吾等有此意愿）。本人 / 吾等确认本人 / 吾等已仔细阅读，充分理解并接受载于客户协议内（包括风险披露声明）的所有条款和规定，并同意遵守客户协议（包括风险披露声明）可能会不时修改的所有条款和规定。

本人 / 吾等进一步确认及同意，若因：（一）中银国际证券有限公司之失误而导致本人 / 吾等蒙受损失，责任赔偿将限于根据证券及期货条例成立之投资者赔偿基金规定的范围内（如适用）；（二）假若证券寄存于中银国际证券有限公司或根据客户协议买入并于香港以外持有，本人 / 吾等便可能不能享有香港法例给予该等证券的同等保障，以及可能不能享有如同在香港持有该等证券的相同权利。

E - 2 申请人声明

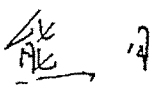


就有关是次新开 / 新增账户之申请，本人 / 吾等特此授权中银国际证券有限公司使用本人 / 吾等在客户协议中所提供的资料（包括本人 / 吾等在申请现有账户时所提供的资料）（下称「**客户资料**」）。本人 / 吾等确认有关客户资料在任何方面均为属实、完整及正确，及对客户协议中的陈述于下述日期均为准确。中银国际证券有限公司获授权随时联络任何人，包括但不限于本人 / 吾等之银行、经纪、或任何信用机构，以求证实客户资料。

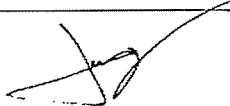
本人 / 吾等承诺如客户资料有任何变更，本人 / 吾等将尽快以书面通知中银国际证券有限公司。除非中银国际证券有限公司接收到本人 / 吾等更改有关内容的书面通知，中银国际证券有限公司有权在任何情况下完全依赖本人 / 吾等的证明及确认。

E - 3 申请人个人资料使用同意书

本人 / 吾等已仔细阅读，完全理解并同意接受并遵守载于客户协议附录一及二（如适用）有关个人资料（私隐）条例（香港法例第486章）的通知及声明。尤其是：

- ☐ 本人 / 吾等同意使用本人 / 吾等的客户资料作为与本人 / 吾等于中银国际证券有限公司开立或继续维持账户，及中银国际证券有限公司向本人 / 吾等提供金融服务有关的直接促销之用。
- ☐ 本人 / 吾等同意中银国际证券有限公司、其任何集团公司或其他仔细挑选之金融、保险、电信服务提供商使用本人 / 吾等的客户资料作为直接促销与本人 / 吾等于中银国际证券有限公司开立或继续维持之账户，及中银国际证券有限公司向本人 / 吾等提供金融服务无关的其他金融、保险或电讯产品 / 服务之用。

(申请公司账户适用)	
<input type="checkbox"/> 如吾等在与中银国际证券有限公司进行业务交易过程中向中银国际证券有限公司提供或被中银国际证券有限公司所持有吾等任何代表(包括董事、雇员、代理、客户(直接或间接)或关联方)或任何第三方的个人资料或数据,吾等承诺并声明,吾等已经获得吾等代表的相关同意,准许中银国际证券有限公司根据客户协议附录一和附录二中所述目的和要求使用、处理、处置、分享或转移这些资料或数据,并且吾等同意将在中银国际证券有限公司提出请求时及时向中银国际证券有限公司提供有关上述同意的证明。	
E-4 申请人签署	
个人/联名账户适用	
账户持有人签署 	账户第二/其他持有人签署 
姓名 HONG IA7	姓名
日期 29/12/2014	日期
公司账户适用	
签署(公司印章(如适用)) 	获授权人士姓名
	获授权人士姓名(如有)
	日期

只供内部使用	
持牌人士声明 本人在此确认:	
<input checked="" type="checkbox"/> 本人已核查上述申请人之身份证明文件或公司注册文件之原本,并见证上述申请人之签名。	
<input checked="" type="checkbox"/> 账户申请人已被提供按照其选择语言(英文或中文)的客户协议(包括风险披露声明),本人并且已邀请账户申请人阅读该客户协议(包括风险披露声明)、提出问题及征求独立的意见(如账户申请人有此意愿)。	
<input checked="" type="checkbox"/> 本人已向账户申请人解释,假若账户申请人现受雇于任何从事证券及期货条例界定的受规管活动的持牌法团或注册机构,账户申请人必须向中银国际证券有限公司提供其雇主所发出的同意书以支持此账户之申请。	
持牌人士签署 	持牌人士姓名 LARRY SHUM
中央编号 A0L348	日期 29/12/2014

[signature: "Hong Iat"]



[logo:] [Seal of the Government of Macau]

SHUM WAH NIN LARRY
SFC Licensed Person (CE No:AOL348)
Date: 20/12/2014

Macau Telecommunications Company

Hong Iat

Apt. C, Bldg. 12, Jardins De

Lisboa (edf. Vista

Linda), Estrada de Sete

Tanques, Taipa

Bill number: 1729110-0	Billing date: Dec. 19, 2014
Bank account date: Jan. 8, 2015	Payment deadline: See stub
Amount: MOP\$0.00	Amount payable: MOP\$215.03
Prepaid amount: MOP\$0.00	Bill number: 0036997265 Pages: 1

CTM Wi-Fi has more than 500 hot spots in restaurants, convenience stores, banks, venues, and piers all over Macau!

Its coverage is more complete, with higher speed and greater reliability!

Billing cycle: Nov. 19, 2014 to Dec. 18, 2014

Service Summary	Mobile phone number: 62836982
-----------------	-------------------------------

Date	Item	Amount
19/12/2014 to 18/01/2015	Balance brought forward	119.03
	iPhone data plan \$198	198.00
	Adjustment: discount	-102.00
	Total amount payable	215.03

CTM reward point program

Account type for Reward points	Reward point account	Available points	Redeemed points	Expiration date for reward points
Personal service	62835982	3226	0	Jan. 31, 2016

Daily voice call duration list (minutes / excluding the time using WAP service)

Date	19/11	20/11	21/11	22/11	23/11	24/11	25/11	26/11	27/11	28/11	29/11	30/11	01/12	02/12	03/12	04/12
Minutes	0	0	0	0	0	0	0	0	1	7	0	0	2	21	0	0
Date	05/12	06/12	07/12	08/12	09/12	10/12	11/12	12/12	13/12	14/12	15/12	16/12	17/12	18/12		
Minutes	2	0	7	11	20	18	0	0	0	0	0	0	0	5		

Daily video call duration list (minutes / excluding the time using WAP service)

Date	19/11	20/11	21/11	22/11	23/11	24/11	25/11	26/11	27/11	28/11	29/11	30/11	01/12	02/12	03/12	04/12
Minutes	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Date	05/12	06/12	07/12	08/12	09/12	10/12	11/12	12/12	13/12	14/12	15/12	16/12	17/12	18/12		
Minutes	0	0	0	0	0	0	0	0	0	0	0	0	0	0		

Stub

Hong Iat		Overdue amount overdue	119.03	Please pay the overdue amount immediately
Bill number:	1729110-0	Amount this month:	96.00	Payment deadline: Jan. 8, 2015
Billing date:	Dec. 19, 2014	Total amount payable:	215.03	

In order to avoid any inconvenience caused by suspension of service, please immediately pay the overdue amount, and a service fee will be charged for reconnecting the service.

The above amount will be automatically paid by transferring money from your bank account on the specified date.



Certified True Copy of the Original

A handwritten signature in black ink, appearing to be 'SHUM WAH NIN LARRY', written over a horizontal dashed line.

SHUM WAH NIN LARRY

SFC Licensed Person (CE No:AOL343)

Date: 29 / 12 / 2014



Customer's information statement – personal/joint account

BOCI Securities Limited

20/F, Bank of China Tower, 1 Garden Road, Central, Hong Kong

18/F, Grand Millennium Plaza, 181 Queen's Road Central, Central, Hong Kong

Central number: AAC298

Account type

☒ Personal account☐ Joint account (except for main account holder, all other account holders are required to fill out "customer's information statement – personal/joint account")

Main account holder's personal information

English name Hong Iat	Chinese Name Hong Iat
Former English name/other name (if any)	Former Chinese name/other name (if any)
ID/passport number [REDACTED]	Gender <input checked="" type="checkbox"/> Male <input type="checkbox"/> Female
Nationality Chinese	Other nationality (if applicable)
Date of birth [REDACTED] 1990	Place of birth Guangdong
U.S. taxpayer? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	U.S. Green Card holder? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
House phone number	Mobile phone number 853-62818329
Fax number	Is contact number (phone or fax) a U.S. number? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Main email address: hongiat@hotmail.com This email address will be used for operating the account, setting up online trading services, and receiving account notices, including electronic statements (if applicable).	

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ESR-060A-C/02



Confidential pursuant to Securities Exchange Act s.24(d).

SEC-HKSFC-P-0000426

Home address (post office box is not accepted here) Apt. C, Bldg. 12, Jardins De Lisboa (edf. Vista Linda), Estrada de Sete Tanques, Taipa (Postal code: _____)		
Permanent address (if different from address above) (post office box is not accepted here) (Postal code: _____)		
Residence type: <input checked="" type="checkbox"/> Living with parents <input type="checkbox"/> Relative's building	<input type="checkbox"/> Self-purchased (no mortgage) <input type="checkbox"/> Dormitory	<input type="checkbox"/> Mortgage / monthly amount \$ _____ <input type="checkbox"/> Renting / monthly rent: \$ _____
Employment situation: <input type="checkbox"/> Self-employed <input type="checkbox"/> Retired	<input checked="" type="checkbox"/> Full-time <input type="checkbox"/> Full-time student	<input type="checkbox"/> Part-time <input type="checkbox"/> Housewife <input type="checkbox"/> Currently unemployed <input type="checkbox"/> Other (explain) _____
Name of employer Zhuhai City Aijia Smart Airflow Co., Ltd.	Type of business IT	
Company's phone number 853-62818329	Years of service with current employer 1	Position Assistant to General Manager
Company's address 901-903, 9/F, No. 102, Yinhua Road, Xiangzhou District, Zhuhai City		
Correspondence address <input checked="" type="checkbox"/> Home <input type="checkbox"/> Other	<input type="checkbox"/> Permanent <input type="checkbox"/> Company	
Relationship with joint account holder (only applicable for joint account)		

License Holder / Registrant Application

Are you a licensee/registrant or an employee of a licensed corporation / registered institution (such as brokerage or bank) as defined in the Securities and Futures Ordinance (Hong Kong Cap. 571)?

☒ No ☐ Yes, please explain:

Do you have any relative who is an employee of BOCI Securities Limited (including BOCI Holdings and the company's subsidiaries)?

☒ No ☐ Yes, please explain: Name:

Relationship:

Account's ultimate beneficiary

Are you the ultimate beneficiary of this account? (This information is required in accordance with the requirements of the "Customer Identity Rules" set by the Hong Kong Securities and Futures Commission.

☒ Yes ☐ No, please provide detailed information about ultimate beneficiary:

English name	Chinese name
Former English name/other name (if any)	Former Chinese name/other name (if any)
ID/passport number	Gender <input type="checkbox"/> Male <input type="checkbox"/> Female
Nationality	Other nationality (if applicable)
Date of birth	Place of birth
U.S. taxpayer? <input type="checkbox"/> Yes <input type="checkbox"/> No	U.S. Green Card holder? <input type="checkbox"/> Yes <input type="checkbox"/> No
Home telephone number	Mobile phone number
Contact number	Is contact number (phone or fax) a U.S. number? <input type="checkbox"/> Yes <input type="checkbox"/> No
Address	
Do you, your spouse or any company controlled by you (you hold 35% of equity or more) currently hold other BOCI Securities accounts? <input checked="" type="checkbox"/> No <input type="checkbox"/> yes, please provide details	
Account name	Account number
Account name	Account number

Applicant's bank information

Payments will be transferred to the following bank account according to the customer's withdrawal instructions.	
Bank account (Hong Kong) <input checked="" type="checkbox"/> USD <input checked="" type="checkbox"/> HKD	
Bank name: BOC (HK)	
Account name: Hong Iat	Account number: HKD [REDACTED] 577-8 USD [REDACTED] 781-7
Bank account (overseas)	
Bank name	
Bank address	
Account name	
Account number	Currency

Applicant's financial record and investment experience

(This information is required according to "Understanding Your Customer's Requirements" set by the Hong Kong Securities and Futures Commission.

Income and assets

Estimated annual income (in HKD)

- ☐ Less than HK\$200,000
☒ HK\$200,000 to HK\$500,000
☐ HK\$500,001 to HK\$1,000,000
☐ HK\$1,000,001 to HK\$5,000,000
☐ Higher than HK\$5,000,000
☐ Other source of income: _____

Estimated net assets (in HKD) (total assets – total debts)

- ☐ Less than HK\$500,000
☐ HK\$500,000 to HK\$3,000,000
☒ HK\$3,000,001 to HK\$5,000,000
☐ HK\$5,000,001 to HK\$30,000,000
☐ Higher than HK\$30,000,000

Education level

- ☐ Primary school ☐ Middle school ☒ College or higher ☐ Other: _____

Source of account funds (can choose more than one option)

- ☐ Sale of property ☒ Income savings / rewards ☐ Sale of investment ☐ Retirement funds
☐ Return on investment ☒ Inheritance / gifting ☐ Commission
☐ Other, please explain: _____

Investment goal and strategy (can choose more than one option)

- ☐ Capital preservation ☒ Wealth accumulation ☐ Profiting
☐ Fixed income ☐ Protection against inflation ☐ Hedging
☐ Retirement ☐ Educational fund for children ☐ Other: _____

Investment term

- ☐ Short-term (less than one year) ☒ Short-term to mid-term (one to five years) ☐ Mid-term (six to 10 years) ☐ Long-term (more than 10 years)

Investment experience

Product	Number of trades annually	Investment experience (years)
Listed stocks	<input type="checkbox"/> <20 <input type="checkbox"/> 20-50 <input checked="" type="checkbox"/> >50	<input type="checkbox"/> None <input type="checkbox"/> <1 <input checked="" type="checkbox"/> 1-5 <input type="checkbox"/> >5
Debt securities/Bonds	<input type="checkbox"/> <20 <input type="checkbox"/> 20-50 <input type="checkbox"/> >50	<input checked="" type="checkbox"/> None <input type="checkbox"/> <1 <input type="checkbox"/> 1-5 <input type="checkbox"/> >5
Leveraged foreign exchange	<input type="checkbox"/> <20 <input type="checkbox"/> 20-50 <input type="checkbox"/> >50	<input checked="" type="checkbox"/> None <input type="checkbox"/> <1 <input type="checkbox"/> 1-5 <input type="checkbox"/> >5
Commodities/Gold and silver/Precious metals	<input type="checkbox"/> <20 <input type="checkbox"/> 20-50 <input type="checkbox"/> >50	<input checked="" type="checkbox"/> None <input type="checkbox"/> <1 <input type="checkbox"/> 1-5 <input type="checkbox"/> >5

Over-the-counter structural products		
• Capital preservation products	<input type="checkbox"/> <20 <input type="checkbox"/> 20-50 <input type="checkbox"/> >50	■ None <input type="checkbox"/> <1 <input type="checkbox"/> 1-5 <input type="checkbox"/> >5
• Non capital preservation products		
Conventional derivatives	<input type="checkbox"/> <20 <input type="checkbox"/> 20-50 <input type="checkbox"/> >50	■ None <input type="checkbox"/> <1 <input type="checkbox"/> 1-5 <input type="checkbox"/> >5
Special derivatives	<input type="checkbox"/> <20 <input type="checkbox"/> 20-50 <input type="checkbox"/> >50	■ None <input type="checkbox"/> <1 <input type="checkbox"/> 1-5 <input type="checkbox"/> >5
Derivative products traded at exchange (transactions in Hong Kong or other places)		
• Warrants <input type="checkbox"/> Hong Kong <input type="checkbox"/> Other exchanges (please explain)	<input type="checkbox"/> <20 <input type="checkbox"/> 20-50 <input type="checkbox"/> >50	■ None <input type="checkbox"/> <1 <input type="checkbox"/> 1-5 <input type="checkbox"/> >5
• Callable bull/bear contracts <input type="checkbox"/> Hong Kong <input type="checkbox"/> Other exchanges (please explain)	<input type="checkbox"/> <20 <input type="checkbox"/> 20-50 <input type="checkbox"/> >50	■ None <input type="checkbox"/> <1 <input type="checkbox"/> 1-5 <input type="checkbox"/> >5
• Futures and futures contracts <input type="checkbox"/> Hong Kong <input type="checkbox"/> Other exchanges (please explain)	<input type="checkbox"/> <20 <input type="checkbox"/> 20-50 <input type="checkbox"/> >50	■ None <input type="checkbox"/> <1 <input type="checkbox"/> 1-5 <input type="checkbox"/> >5
• Equity-linked note/equity-linked instrument <input type="checkbox"/> Hong Kong <input type="checkbox"/> Other exchanges (please explain)	<input type="checkbox"/> <20 <input type="checkbox"/> 20-50 <input type="checkbox"/> >50	■ None <input type="checkbox"/> <1 <input type="checkbox"/> 1-5 <input type="checkbox"/> >5
• Other products <input type="checkbox"/> Hong Kong <input type="checkbox"/> Other exchanges (please explain)	<input type="checkbox"/> <20 <input type="checkbox"/> 20-50 <input type="checkbox"/> >50	■ None <input type="checkbox"/> <1 <input type="checkbox"/> 1-5 <input type="checkbox"/> >5

Investment funds		
• Mutual fund/unit trust	<input type="checkbox"/> <20 <input type="checkbox"/> 20-50 <input type="checkbox"/> >50	<input checked="" type="checkbox"/> None <input type="checkbox"/> <1 <input type="checkbox"/> 1-5 <input type="checkbox"/> >5
• Hedge fund / privately offered fund	<input type="checkbox"/> <20 <input type="checkbox"/> 20-50 <input type="checkbox"/> >50	<input checked="" type="checkbox"/> None <input type="checkbox"/> <1 <input type="checkbox"/> 1-5 <input type="checkbox"/> >5
• Leveraged and special exchange-traded fund	<input type="checkbox"/> <20 <input type="checkbox"/> 20-50 <input type="checkbox"/> >50	<input checked="" type="checkbox"/> None <input type="checkbox"/> <1 <input type="checkbox"/> 1-5 <input type="checkbox"/> >5
Other funds (please explain)	<input type="checkbox"/> <20 <input type="checkbox"/> 20-50 <input type="checkbox"/> >50	<input checked="" type="checkbox"/> None <input type="checkbox"/> <1 <input type="checkbox"/> 1-5 <input type="checkbox"/> >5
Other related investment experience:		

Declaration of applicant

I hereby declare that all the information in this customer's information statement is true, complete, and accurate. BOCI Securities Limited is authorized to contact anyone at any time, including but not limited to my bank, broker, or any credit organization, with the purpose of verifying the content recorded in the customer's information statement.

I confirm that currently, I am not employed by any licensed corporation or registered institution to undertake activities regulated by the Securities and Futures Ordinance (Hong Kong Cap. 571). Otherwise, I understand that I must provide a consent form from my employer to BOCI Securities Limited to prove that I am allowed to open an account with BOCI Securities Limited and use this account. I also pledge that if I will be employed or won't be employed by a licensed corporation or registered institution to conduct regulated activities, then I will immediately notify BOCI Securities Limited of that.

I pledge that if there is any change to the information recorded in this customer's information statement, then I will notify BOCI Securities Limited of this in writing as soon as possible. Unless BOCI Securities Limited receives my written notice about the change to related content, BOCI Securities Limited has the right to fully rely on my proof and confirmation under any circumstances.

Applicant's signature

(This signature will be regarded as sample of signature)

Applicant's Signature: [signature:] ["Hong Iat"]	Date: Dec. 29, 2014
---	------------------------



Account Application Form

BOCI Securities Limited

20/F, Bank of China Tower, 1 Garden Road, Central, Hong Kong

18/F, Grand Millennium Plaza, 181 Queen's Road Central, Central, Hong Kong

Central number: AAC298

For internal use only	
Sales / RM Code:	<u>AEGL1</u> /
Referral Code:	_____
Account No.:	_____
Group ID:	_____
Comm Code:	_____
Account risk level:	
<input checked="" type="checkbox"/> high	<input type="checkbox"/> medium <input type="checkbox"/> low

Part A: Basic information

Account name (English)	
Hong Iat	
Account number (for existing customer)	
Type of account:	
<input checked="" type="checkbox"/> Personal account <input type="checkbox"/> Joint account – please indicate the total number of account holders for joint account <input type="checkbox"/> Company account	
Main account holder's ID number / passport number (applicable for personal/joint account)	Company's registration certificate number (applicable for company account)
1368330(5)	

Part B: Type of services needed

The reason why I/we plan to open this account is to conduct trades for following types of products:	
<input type="checkbox"/> Cash securities <input type="checkbox"/> Futures/index <input type="checkbox"/> options/commodity options <input type="checkbox"/> Leveraged foreign <input type="checkbox"/> exchange trading <input type="checkbox"/> Other: _____	<input checked="" type="checkbox"/> Margin securities <input type="checkbox"/> Stock options <input type="checkbox"/> Investment-based immigration account

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Part C: Application for online trading services

C-1 Online securities trading services

☒ Needed (please fill out the rest of section C-1) ☐ Not needed

☐ I/we intend to use my/our current online securities account login name to log in to my/our new securities account.

☒ I/we intend to apply for a new online securities account login name to log in to the new securities account.

Please provide three choices for your online account login name. (6-15 numbers or letters, with no spaces or symbols, such as: paulchan123)

First online services user	Second online service user (required for joint account, optional for company account)
1. hongiat	1.
2.	2.
3.	3.

C-2 Online futures and commodities trading services

☐ Needed ☒ Not needed

C-3 Online leveraged foreign exchange trading services

☐ Needed ☒ Not needed

Part D: Account operation

Existing customers do not have to complete this portion. Account operation for new product trading will follow the setting of the existing account without changes.

D-1 Signature arrangement (only applicable for joint account)

As for valid documents that can determine our account operation (including withdrawal and asset transfer), we have decided that they should be handled according to the following form of signature:

☐ Signature from any of the account holders ☐ Signatures from joint account holders

D-2 Company communications (only applicable for securities accounts)

Do you wish to receive company communications about securities that are not registered under your name but that are placed in the Hong Kong central clearing system?

☒ No

☐ Yes, I/we authorize BOCI Securities Limited to disclose my/our name and address to the Hong Kong Securities Clearing Company Limited and/or related share registrars. I/we pledge that if there is any change to this information, then I/we will immediately notify BOCI Securities Limited of this. Regarding this matter, I/we also know that BOCI Securities Limited is only responsible for disclosing my/our name and address to the abovementioned organizations.

D-3 Statement mailing

Please select one of the following options for receiving statements:

☒ Electronic statements*

Electronic daily statements and monthly statements will be sent to your main email address.

☐ Additional email address to receive a copy of electronic statements (only applicable for company account)

*If there is a failure in electronic communications equipment or transmission channels, then BOCI Securities Limited reserves the right to suspend or terminate the delivery of your statements via email to you at any time and without prior notice, and statements will be sent via postal mail to the address you have most recently provided to us.

☐ Printed statements

Daily and monthly statements will be sent to your address via postal mail (for joint account, printed statements will be sent to the address of the main account holder)

Language selection: ☐ English ☒ Simplified Chinese

D-4 Instant trade confirmation text messages

☐ Needed

I/we wish to receive instant confirmation text messages for securities and/or futures and commodities trades sent to a mobile phone number. Please send instant confirmation text messages to the Hong Kong mobile phone number that has already been registered by the main account holder.

☐ Securities account (Applicant must also apply for online securities trading services (Part C-1))

☐ Futures/commodities/stock options account

The instant trade confirmation text message service is only applicable for Hong Kong mobile phone numbers and depends on whether or not network service provider offers this service. If there is a failure in electronic communications equipment or transmission channels, then BOCI Securities Limited reserves the right to suspend or terminate the delivery of trade confirmation text messages to you at any time and without prior notice.

☒ Not needed

D-5 Daily Transaction Summary (only applicable for company account)

☐ Needed (please fill out the rest of section D-5) ☒ Not needed

Please select one of the following options for receiving daily transaction summary:

☐ Fax ☒ Company's fax number

☐ Additional fax number to receive a copy of daily transaction summary

☐ Email ☒ Main email address

☐ Additional email address to receive a copy of daily transaction summary

If there is a failure in electronic communications equipment or transmission channels, then BOCI Securities Limited reserves the right to suspend or terminate the delivery of daily transaction summary to you at any time and without prior notice.

Part E: Applicant's statement

E-1 Applicant's confirmation letter

Before signing this account application, please read the following information:

- Please note that all trades completed by or conducted through BOCI Securities Limited are restricted by the terms and conditions listed in the customer agreement (please see below for definitions); and
- Please carefully read the customer agreement (including the risk disclosure statement) provided to you with the language you have selected (English or Chinese), present any question, or seek independent advice (if you wish to do so).

(Applicable for new customer)

☒ I/we confirm that I/we have received the customer agreement (including all parts and appendices of the agreement), risk disclosure statement, customer's information statement, account application form, and other agreements and documents related to the opening of this account (if applicable) (collectively referred to as the "customer agreement") from BOCI Securities Limited and also confirm that the customer agreement (including the risk disclosure statement) is provided to me/us with the language I/we have selected (English or Chinese). Besides, I/we confirm that I/we have been asked to carefully read the customer agreement (including the risk disclosure statement), present any question, and seek independent advice (if I/we wish to do so). I/we confirm that I/we have carefully read, fully understood, and also accept all the terms and conditions in the customer agreement (including the risk disclosure statement) and also agree to abide by all the terms and rules of the customer agreement (including the risk disclosure statement) that may be revised from time to time.

(Applicable for existing customer)

☐ I/we confirm that I/we already have the customer agreement (including all parts and appendices of the agreement), risk disclosure statement, customer's information statement, account application form, and other agreements and documents related to the opening of this account (if applicable) provided by BOCI Securities Limited (collectively referred to as the "customer agreement") and that there is no need to provide another copy of the customer agreement (including the risk disclosure statement) to me/us. I/we understand that I/we have the right to require BOCI Securities Limited to provide another copy of the customer agreement (including the risk disclosure statement) at any time and/or that I/we can obtain another copy of the customer agreement (including the risk disclosure statement) from the website of BOCI Securities Limited at any time. I/we confirm that the customer agreement (including the risk disclosure statement) is provided with the language I/we have selected (English or Chinese), and I/we have been asked to carefully read the customer agreement (including the risk disclosure statement), present any question, and seek independent advice (if I/we wish to do so). I/we confirm that I/we have carefully read, fully understood, and also accept all the terms and conditions in the customer agreement (including the risk disclosure statement) and also agree to abide by all the terms and rules of the customer agreement (including the risk disclosure statement) that may be revised from time to time.

I/we further confirm and agree that: (1) if an error made by BOCI Securities Limited leads to any loss faced by me/us, then compensation liability will be limited to the scope

specified by investor compensation rules in the Securities and Futures Ordinance (if applicable); and (2) if the securities are placed with BOCI Securities Limited or are bought and held outside of Hong Kong according to the customer agreement, then I/we may not be able to enjoy equal protection granted by Hong Kong laws to these securities and may not be able to enjoy the same rights as those holding similar securities in Hong Kong.

E-2 Applicant's statement

Regarding this application for a newly opened/added account, I/we hereby authorize BOCI Securities Limited to use the information provided in my/our customer agreement (including the information I/we have provided in the application for existing account) (hereinafter referred to as the "customer's information"). I/we confirm that related customer's information in all areas is true, complete, and accurate and that the statements made in the customer agreement are accurate before the following date. BOCI Securities Limited is authorized to contact anyone at any time, including but not limited to my/our bank, broker, or any credit organization, to verify customer's information. I/we pledge that if there is any change to customer's information, then I/we will notify BOCI Securities Limited in writing as soon as possible. Unless BOCI Securities Limited receives my/our written notice of the changes to related contents, BOCI Securities Limited has the right to fully rely on my/our attestations and confirmations under any circumstances.

E-3 Consent to use applicant's personal information

I/we have carefully read, fully understood, and also agree to accept and abide by the notice and declaration in Appendix 1 and Appendix 2 of the customer agreement (if applicable) regarding Personal Information (Privacy) Ordinance (Hong Kong Cap. 486).

In particular:

- ☐ I/we agree to use my/our customer's information for the purpose of opening or maintaining an account with BOCI Securities Limited and for the purpose of direct marketing of the financial services provided by BOCI Securities Limited to me/us.
- ☐ I/we agree that BOCI Securities Limited, any of its companies, or other carefully selected financial, insurance, or telecom service providers can use my/our customer's information for direct marketing of other financial, insurance, and telecom products that are not related with the account I/we have opened with BOCI Securities Limited and the financial services BOCI Securities Limited provides to me/us.

(Applicable for company account application)

☐ If we provide personal information or data of any of our representatives (including board members, employees, agents, customers (direct or indirect), or related parties) or any third party to BOCI Securities Limited in the process of conducting business and trades with BOCI Securities Limited or if this information or data is held by BOCI Securities Limited, then we pledge and declare that we have obtained related consent letters from our representatives and allow BOCI Securities Limited to use, process, handle, share, or transfer this information or data according to the purposes and requirements listed in Appendix 1 and Appendix 2 of the customer agreement. We also agree to promptly provide the evidence of the aforesaid consent letters to BOCI Securities Limited when requested by BOCI Securities Limited.

E-4 Applicant's signature

Applicable for personal/joint account

Signature of main account holder [signature:] ["Hong Iat"]	Signature of second/other account holder
Name Hong Iat	Name
Date: Dec. 29, 2014	Date:

Applicable for company account

Signature (company seal (if applicable))	Name of authorized person
	Name of other authorized person (if applicable)
	Date

For internal use only

Declaration of licensed person

I hereby confirm that:

- I have already checked the original copy of the identity document or company registration document of the abovementioned applicant, and I also have seen the abovementioned applicant signing his/her name.
- Account applicant has already been provided with the customer agreement (including the risk disclosure statement) with the language of his/her choice (English or Chinese), and I also have asked the account applicant to read this customer agreement (including the risk disclosure statement), present any question, and seek independent advice (if the account applicant wishes to do so).
- I have already explained to account applicant that if account applicant is currently employed by any licensed corporation or registered institution to undertake the activities regulated by the Securities and Futures Ordinance, then account applicant must provide BOCI Securities Limited a consent form from their employer to support the application for this account.

Signature of licensed person [signature:] [illegible]	Name of licensed person Larry Shum
Central number AOL348	Date Dec. 29, 2014

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Confidential pursuant to Securities Exchange Act s.24(d).

SEC-HKSFC-P-0000436



STI

CERTIFICATION

Schreiber Translations, Inc.

51 Monroe Street

Suite 101

Rockville, MD 20850

P: 301.424.7737

F: 301.424.2336

This is to certify that the attached English language documents are true and accurate translations of the original Chinese language documents to the best of our knowledge and belief. The documents are identified as below:

"_HO_01_00108345",

"_HO_01_00109413",

"_HO_01_00109414"

Executed this 28th day
of June, 2016

Schreiber Translations, Inc.
51 Monroe Street, Suite 101
Rockville, Maryland 20850
ATA Member 212207

Schreiber Translations, Inc. uses all available measures to ensure the accuracy of each translation, but shall not be held liable for damages due to error or negligence in translation or transcription.